PTO-1390 (Rev. 07-2005)

AP3 REC PDT/PTO 1 7 MAR 2006 Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 2271/75948

CON	CERNING A SUBMISSIC	107572618						
	FIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED					
	P2005/013528	15 July 2005	20 July 2004'					
TITLE OF INVENTION SWITCHING REGULATOR, POWER SUPPLY CIRCUIT AND SECONDARY CELL CHARGING CIRCUIT INCLUDING THE SAME								
/PPLICANT(S) FÖR DO/EO/US   Junji NISHIDA								
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1. 👿	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.							
2. 🔲 1	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.							
3. XX 1	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4. 🔲 -	The US has been elected (Árticle 31).							
5. XX	A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
_	a. XX is attached hereto (required only if not communicated by the International Bureau).							
	b. has been communicated by the International Bureau.							
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).							
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).							
•	a. is attached hereto.		, <sup>3</sup> .4					
	b. has been previously submi	tted under 35 U.S.C. 154(d)(4).						
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
	a. are attached hereto (required only if not communicated by the International Bureau).							
	b. have been communicated by the International Bureau.							
	c. have not been made; however, the time limit for making such amendments has NOT expired.							
	d. have not been made and	will not be made.	<u>`</u>					
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. XX	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10.	An English language translation of th Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	xamination Report under PCT					
Îtems	11 to 20 below concern document(s	s) or information included:						
11.\ <u>\x</u> x	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98. ${ t includin}$	ng Form PTO-1449, and cited refs.					
12. XX	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13. 🔲	A preliminary amendment.							
14.	An Application Data Sheet under 37	CFR 1.76.						
15.	A substitute specification.							
16. XX	A power of attorney and/or change of	f address letter.						
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.							
18. 🔲	A second copy of the published International Application under 35 U.S.C. 154(d)(4).							
19 🗆	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S.

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U.S. APPLICA	TION NO. (if known	, see 37 CFR 1.5)	INTERNATIONAL AP	PLICATION NO.	ATTORNEY'S DO	OCKET NUMBER
	10/5	72618	PCT/JP2005/01	3528	2271/75948	
20. Other	items or information	on:				
		See at	tached page 4.			
						570 HS5 0WW
	lowing fees have b			£200	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	PTO USE ONLY
21. XX Bas	ic national fee (37	CFR 1.492(a))		\$300	300.00	
22. 🔯 Exar	mination fee (37 Cl	FR 1.492(c))				
If the written opin	nion prepared by I	SA/US or the inte	ernational preliminary examinat	ion report prepared	\$ 200.00	
			risions of PCT Article 33(1)-(4).			
23. XX Sea	rch fee (37 CFR 1	492(b))				
If the written opin	nion of the ISA/US	or the Internatio	nal preliminary examination rep			
Search fee (37 C	S indicates all claim CFR 1.445(a)(2)) h	ns satisty provision as been paid on	ons of PCT Article 33(1)-(4) the international application to	the USPTO as an	\$ 400.00	i
Internati	onal Searching Au	thority	er than the US and provided to	\$100		
<ul> <li>previous!</li> </ul>	y communicated to	the US by the I	В	\$400		
All other situation	ns			\$500		
Additional fe	TOTAL OF 21, 22		ed in paper over 100 sheets (ex	ccludina		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an						
	ic medium) (37 CF is <b>\$250</b> for each ad		ts of paper or fraction thereof.			
Total Sheets	Extra Sheets	Number of each	additional 50 or fraction	RATE		
· · · · · · · · · · · · · · · · · · ·		thereof (round	up to a whole number)	-		
77 - 100 =	0 /50 =	0		x \$250	\$	1
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$	
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims		27 - 20 =	7	x \$ 50	\$ 350.00	
Independent clai	· · · · · · · · · · · · · · · · · · ·	4 -3=	1	x \$200	\$ 200.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 5 2 56 .6.		
TOTAL OF ABOVE CALCULATIONS =					\$1,450.00	•
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.						
SUBTOTAL =					\$1,450.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$		
TOTAL NATIONAL FEE =				\$1,450.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00		
TOTAL FEES ENCLOSED =					\$1,490.00	
	<u> </u>				Amount to be refunded:	\$
					Amount to be	\$
					charged	

TU / 5 / 2 6 1 8 P10-1390 (Rev. 07-2005)
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a. XX A check in the amount of \$ 1,490.00	to cover the above fees is enclosed.					
b. Please charge my Deposit Account NoA duplicate copy of this sheet is enclosed.	in the amount of \$ to cover the above fees.					
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03-3125</u> . A duplicate copy of this sheet is enclosed.						
Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:	tand the					
Customer Number 23432	SIGNATURE					
Ivan S. Kavrukov	Paul Teng NAME					
COOPER & DUNHAM LLP	40,837					
1185 Avenue of the Americas	REGISTRATION NUMBER					
New York, NY 10036						
Tel.: 212-278-0400						
Fax: 212-391-0525						

### IAP9 Rec'd PCT/PTO 17 MAR 2006

Dkt. 2271/75948

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Junji NISHIDA

Serial No.: Not Yet Known Int'l Appl'n No.: PCT/JP2005/013528

Date Filed: Concurrently Herewith Int'l Filing Date: 15 July 2005

For: SWITCHING REGULATOR, POWER SUPPLY CIRCUIT AND SECONDARY

CELL CHARGING CIRCUIT INCLUDING THE SAME

# Page 4 of Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Submission Under 35 U.S.C. 371

#### 20. Other items or information:

- cover page of PCT Publication No. WO 2004/009268 A1;
- copy of PCT Request;
- copy of Notification Concerning Submission Or Transmittal Of Priority Document;
- copy of Notification Of Transmittal Of The International Search Report And The Written Opinion Of The International Search Authority Or The Declaration; and
- copy of Written Opinion Of The International Search Authority.

## 10/572618 IAP9 Rec'd PCT/PTO 17 MAR 2006

Dkt. 2271/75948

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Junji NISHIDA

Serial No.: Not Yet Known Int'l Appl'n No.: PCT/JP2005/013528

Date Filed: Concurrently Herewith Int'l Filing Date: 15 July 2005

For: SWITCHING REGULATOR, POWER SUPPLY CIRCUIT AND SECONDARY CELL

CHARGING CIRCUIT INCLUDING THE SAME

### **Mail Stop PCT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 ATTN: DO/EO/US

Sir:

### **EXPRESS MAIL LETTER OF TRANSMITTAL**

Express Mail mailing label number: EV554807862US

Date of Deposit: March 17, 2006

I hereby certify that the above-identified new application, consisting of four pages of the Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371 (in duplicate), a copy of the International Application as filed [73-pages including claims 1-27 and Abstract, and 4 sheets of formal drawings (Figs. 1-5)], Declaration And Power Of Attorney (3 pages), Assignment and Recordation Cover Sheet (3 pages total), Information Disclosure Statement (including Form PTO-1449, copies of references, and copy of International Search Report), cover page of PCT Publication No. WO 2004/009268 A1, copy of PCT Request, copy of Notification Concerning Submission Or Transmittal Of Priority Document, copy of Notification Of Transmittal Of The International Search Report And The Written Opinion Of The International Search Authority Or The Declaration, copy of Written Opinion Of The International Search Authority, a check in the amount of \$1,490.00 and return stamped postcard, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, ATTN: DO/EO/US.

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Attorneys for Applicant: IVAN S. KAVRUKOV, Reg. No. 25,161 PAUL TENG, Reg. No. 40,837 Cooper & Dunham LLP 1185 Avenue of the Americas New York, N.Y. 10036 Tel: (212) 278-0400